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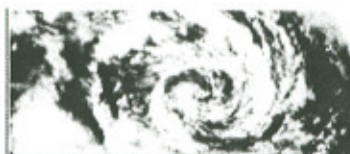
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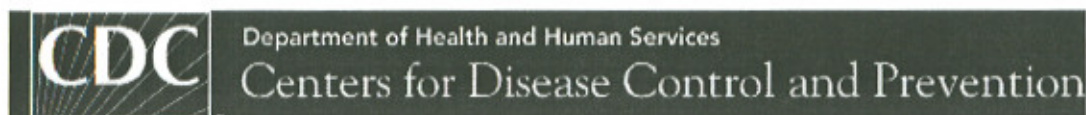
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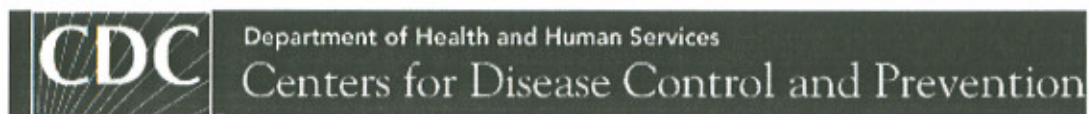
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### Synopsis

## Cost-Effectiveness of a Potential Vaccine for *Coccidioides immitis*

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*Coccidioidomycosis*, a systemic fungal infection, affects Americans living in the Southwest. We evaluated the cost-effectiveness of a potential vaccine against *Coccidioides immitis*. Using a decision model we developed, we estimate that among children, vaccination would save 1.9 quality-adjusted life days (QALD) and \$33 per person. Among adults, screening followed by vaccination would save 0.5 QALD per person and cost \$62,000 per quality adjusted life year gained over no vaccination. If the birth cohort in highly endemic counties of California and Arizona were immunized in 2001, 11 deaths would be averted and \$3 million would be saved (in net present value) over the lifetime of these infants. Vaccination of adults to prevent disseminated coccidioidomycosis would provide a modest health benefit similar in magnitude to other vaccines but would increase net expenditures. Vaccination of children in highly endemic regions would provide a larger health benefit and would reduce total health care expenditures.

*Coccidioides immitis*, an infectious fungus, grows in the arid soil of the Central Valley of California, southern Arizona, and parts of Nevada, New Mexico, Utah, and Texas, as well as northern Mexico and parts of Central and South America. Regions endemic for *C. immitis* are home to approximately 20% of the U.S. population; an estimated 5 million persons live in the areas of highest endemicity (Figure 1) (1-3). Humans are infected by inhaling dust containing *C. immitis* arthroconidia. Dust storms (4,5) and activities associated with heavy dust exposure such as agricultural labor (6), excavating archeologic ruins (7), and military combat training (8,9) increase infection rates, total infectious load, and the proportion of symptomatic cases. Infection rates, as reflected by positive skin tests, have decreased since the 1940s and 1950s from as high as 8% per month to approximately 2% to 3% per year in highly endemic regions. This decrease is likely the result of reduced dust exposure attributable to lifestyle changes and urbanization. However, population growth in endemic regions has been steady and is projected to increase.



Recent epidemics in California and Arizona highlight the continuing public health threat and costs of coccidioidomycosis (10,11) and have led to efforts to develop a vaccine. An economic analysis of the 7,130 cases from 1991 to 1993 in California's Kern County demonstrated a cost to that county of \$56 million (12). Because the U.S. training post for desert warfare is located in the Mojave Desert, an area endemic for *C. immitis*, a vaccine is a military as well as a civilian priority. A killed whole spherule vaccine that showed promise in animal trials was not well tolerated in humans (13). Current efforts of the Valley Fever Vaccine Project, a consortium of researchers funded primarily by the California Healthcare Foundation and the California Department of Health Services, focus on a number of antigens successful in mice models that may form the basis of a subunit vaccine (G. Rutherford, pers. comm.).

Despite the potential clinical value of a vaccine, the only study of the cost-effectiveness of a vaccination program was an Institute of Medicine (IOM) analysis for the purposes of setting priorities in vaccine development (14). The IOM concluded that vaccine development would cost more than \$100,000 per quality-adjusted life year (QALY) gained, but included vaccine development costs and used a very simplified model. If the vaccine would not be cost-effective, current development efforts might be in vain. We present the results of a detailed cost-effectiveness analysis of a potential vaccine against *C. immitis*.

## Data and Methods

We used a decision model to evaluate the health and economic consequences of withholding the vaccine, screening and vaccinating only those susceptible to infection (screening/vaccination), or vaccinating all eligible persons. Taking a societal perspective, we calculated the incremental cost-effectiveness of these strategies (formula in [Appendix I](#), online), and we discounted both costs and benefits at an annual rate of 3%. We performed one-way sensitivity analyses on all the model variables, as well as a three-way sensitivity analysis of the most sensitive variables, and best- and worst-case scenarios.

We based the estimates for input variables on the literature whenever possible ([Appendix II Table](#)). Our base-case estimates represent our judgment about the best estimate from the literature and discussion with experts. The ranges for costs represent variation by 25% above and below the base-case estimate, except where otherwise specified. The ranges for sensitivity analyses on quality-of-life estimates represent the 25th and 75th percentiles of patients' assessments of quality of life (15), except where otherwise specified. The authors had complete scientific and editorial independence from the funding agencies.

## Decision Model

We developed a Markov model ([Figure 2](#)) with Decision Maker software (version beta 0.99.11.14.0a, 2000, S. Pauker, et al., Boston, MA) (16,17) to track hypothetical

**Figure 2**



cohorts of patients who either did not receive the *C. immitis* vaccine, received it, or received it only if their skin test was negative. Patients who received the vaccine were at risk for pain at the injection site, mild to moderate fever, and anaphylaxis without death. Vaccinated patients were at decreased risk for extrapulmonary dissemination after primary infection. Patients neither immune from previous infection nor successfully vaccinated were at risk for *C. immitis* infection and serious sequelae. All patients were at risk for dying from other causes (18). We followed cohorts until death, with a Markov cycle length of 1 month.

## Patient Population

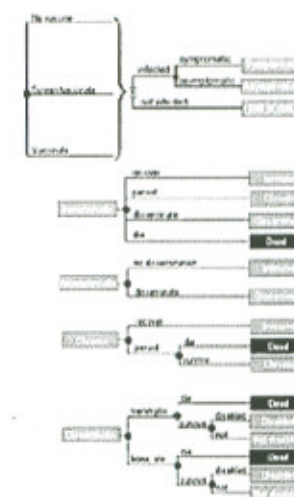
We used epidemiologic and demographic data from two California counties (Kern and Tulare) and eight Arizona counties (Cochise, Gila, Graham, Maricopa, Pima, Pinal, Santa Cruz, and Yavapai) as proxies for the population features of highly endemic regions (2,19; Internal Revenue Service, unpub. data). We used two cohorts representing the weighted average age and prior probability of naturally acquired immunity among children (ages  $\leq 17$ ) and adults (ages 18 to 65) in 10 highly disease-endemic counties. New residents with no natural immunity were added to these cohorts. Children had an average age of 8.85 years, and 14.5% were naturally immune; adults had an average age of 39.51 years, and 47.5% were naturally immune.

## Clinical Manifestations

Smith's classic studies in military recruits stationed in disease-endemic regions during World War II established that 60% of infected persons have no symptoms and 40% have a flulike illness (20). Asymptomatic infection and symptomatic infection with recovery confer probable lifelong immunity with a positive delayed-type hypersensitivity skin-test reaction to coccidioidin or spherulin. However, a fraction of persons do not have simple self-limited disease and instead more serious illness develops, such as respiratory failure, chronic pneumonia, and extrapulmonary dissemination (20-23). We present more clinical detail in [Appendix I](#), online, and summarize probability estimates, costs, and utilities in the [Appendix II Table](#).

## Vaccine and Skin-Test Characteristics

Animal trials of the vaccine rely on intraperitoneal or intranasal challenge with large loads of *C. immitis*. The outcome measure is survival or death from disseminated disease. Because the mechanism of action of the putative vaccine is not known, we conservatively assumed that vaccination prevents extrapulmonary dissemination but not primary infection. We evaluated this assumption in a sensitivity analysis.



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**Figure 2.** Schematic representation of the decision model and decision model subtrees....

We assumed that all vaccinated persons comply with 3 doses in 1 year and all develop an antibody response. We assumed that the vaccine reduced the probability of dissemination by 75%, with lifelong duration, based on experience with hepatitis B vaccine, a widely used subunit vaccine. We examined the effects of compliance with each strategy and the effect of waning immunity.

Using data from hepatitis B vaccine as a proxy, we assumed that 25% of patients would experience mild side effects such as local arm pain, fever, and nausea and that 1 in 600,000 patients would experience nonfatal anaphylaxis requiring hospitalization (24).

For screening before vaccination, studies suggested a sensitivity of 70% and a specificity of 90% for the spherulin intradermal test (25-30).

### **Quality of Life**

Our model included intermediate health states that may be associated with decrements in quality of life. To adjust for such decrements, we included quality adjustments in our model (Appendix II Table). Because there are no quality-of-life studies for coccidioidomycosis, we used proxy health state utilities in published studies or clinical judgment. All illness utilities were multiplied by age-specific "healthy" utilities to account for the age at which an illness was contracted. We assumed that primary pulmonary infection causes a substantial health status decrement only in those who are sick enough to be diagnosed. Among those with primary pulmonary disease that goes undiagnosed, we assigned a health state utility equal to well for this illness episode, but accounted for lost time from work due to illness (see Costs, below). For the short-term vaccine side effects, we capture quality-of-life impact as a decrease in utility of one-tenth of a quality-adjusted day. The base case and ranges for these quality-of-life adjustments reflect our judgment about the impact of these episodes on patients. We evaluated the effects of these utility assumptions in sensitivity analyses.

### **Costs**

#### **Direct Medical Costs**

All costs are presented in 2000 U.S. dollars (Appendix II Table). For costs of inpatient care, we used cost-adjusted charges from the 1996 Nationwide Inpatient Sample of the Healthcare Cost and Utilization Project (31). For outpatient services, we used the 2000 Medicare national physician fee schedule (32). For outpatient services not listed in the Medicare fee schedule, we used reimbursement received by Kern County service providers (R. Talbot, pers. comm.) We assumed that patients severely disabled by coccidioidal meningitis would require home support and used the average payment per Medicare home health beneficiary as a proxy for this cost (33).



### Vaccine and Other Costs

In our base-case analysis, we assumed the cost of the vaccine was \$180. We chose this value for the base case because it is the reimbursed fee for the three-shot hepatitis B series, an existing subunit vaccine. We examined a broad range of vaccine costs (\$100 to \$400) in sensitivity analyses.

For circumstances in which quality-of-life changes do not capture the inconvenience of illness or medical care, we included time costs, as noted in the online [Appendix I](#).<sup>(1)</sup>

## Results

### Prevention of Illness and Death

We calculated the number of cases of disseminated disease and deaths per 100,000 persons that would be prevented over the lifetime of each cohort if children and adults were immunized, assuming rates of vaccine coverage of 40%, 60%, 80%, and 100% ([Table 1](#)). For example, if 60% of children were vaccinated, 93 cases of dissemination, 64 cases of disability, and 7 deaths would be averted and \$2 million saved per 100,000 population. If 60% of adults underwent screening followed by vaccination, 38 cases of dissemination, 24 cases of disability, and 2 deaths would be prevented at a cost of \$5.4 million per 100,000 population.

### Costs and Effectiveness

We calculated the effectiveness, costs, and cost-effectiveness of each strategy in each population, expressed in [Table 2](#) as the per-person cost and health benefit. Among children, vaccination saved 1.9 quality-adjusted life days (QALD) and \$33 per person. Among adults, screening followed by vaccination saved 0.5 QALD per person and cost \$62,000 per QALY gained over no vaccination. For adults, the incremental gain from vaccinating all persons compared with screening followed by vaccination contributed an additional 0.05 QALD at a cost of \$235,000 per QALY gained.

**Table 1.** Lifetime cases of dissemination, disability, and death prevented and costs per 100,000 population if children are vaccinated and adults are screened, then vaccinated

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Compliance	Dissemination prevented		Disability prevented		Deaths prevented		Net cost (\$ millions)	
	Children	Adults	Children	Adults	Children	Adults	Children	Adults
100%	154	63	106	39	12	4	-3.3	9
80%	124	50	85	32	10	3	-2.6	7.2
60%	93	38	64	24	7	2	-2	5.4
40%	62	25	42	16	5	2	-1.3	3.6

**Table 2.** Health and economic outcomes of vaccination strategies<sup>a</sup>

Age	Strategy <sup>b</sup>	Life expectancy (years; days)	Incremental life expectancy <sup>c</sup> (days)	Quality-adjusted life expectancy (years; days)	Incremental quality-adjusted life expectancy <sup>c</sup> (days)	Cost (\$)	Incremental cost <sup>d</sup> (\$)	CE ratio (\$/QALY) <sup>e</sup>
≤17	No vaccine	28; 160.15	-	25; 172.98	-	35,196	-	-
	Screen/vaccinate	28; 160.58	0.43	25; 174.66	1.68	35,187	-9	-
	Vaccinate	28; 160.62	0.04	25; 174.84	0.18	35,163	-24	Dominates
18-65	No vaccine	21; 272.68	-	18; 60.12	-	47,477	-	-
	Screen/vaccinate	21; 272.82	0.14	18; 60.65	0.53	47,568	90	62,000
	Vaccinate	21; 272.84	0.02	18; 60.70	0.05	47,601	33	235,000

<sup>a</sup>Life expectancy and costs are discounted at 3% per year.

<sup>b</sup>Strategies are ranked by effectiveness, from the least to the most effective, for each age group.

<sup>c</sup>All incremental values compare an alternative with the next most effective strategy (e.g., cost [screen/vaccinate] - cost [no vaccine] = incremental cost [screen/vaccinate over no vaccine]).

<sup>d</sup>Negative values reflect cost savings compared to the next most effective strategy.

<sup>e</sup>Cost-effectiveness ratio: refer to online [Appendix I](#) for formula. A strategy dominates if it is both more effective and less expensive than all comparison strategies.

Screen/vaccinate = vaccination of susceptible persons identified through a screening skin test; vaccinate = vaccination of all persons.

## Sensitivity Analyses

We performed one-way sensitivity analyses over the ranges of all input variables listed in the [table in Appendix II](#) and on critical assumptions, such as the vaccine mechanism of action and target population. Among children, vaccination was no longer cost-saving at the lowest ranges of vaccine efficacy, infection rate, dissemination rate, long-term care cost for severe disability, and medical follow-up cost after nonmeningeal dissemination and chronic



pulmonary disease; the vaccine also was not cost-saving in children when we used the highest ranges of vaccine cost, meningitis mortality, emigration, and discount rate among children. The counter-intuitive effect of meningitis deaths is due to the decrease in survivors subject to long-term care costs from post-meningitis disability. Among adults, sensitivity analyses that changed the cost-effectiveness ratio by  $\geq \$40,000$  per QALY gained included infection rate, vaccine effectiveness, discount rate, vaccine cost, dissemination rate, emigration rate, and office visit time. Only the vaccine cost changed the preferred strategy among adults. Below \$106 per 3 doses, vaccination was preferred over screening/vaccination, with a cost-effectiveness ratio of \$30,000 per QALY gained.

### Vaccine Duration

Our base-case analysis assumed lifetime immunity after vaccination, but vaccine protection may wane. If vaccine protection waned to zero in 15 years, vaccination saved 0.82 QALD per child and cost \$47,300 per QALY gained, and screening/vaccination saved 0.28 QALD per adult and cost \$165,500 per QALY gained.

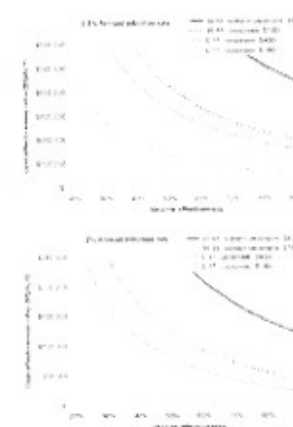
### Vaccine Mechanism of Action

If the vaccine prevented primary infection rather than dissemination alone, vaccination saved 2.26 QALD and \$46 per vaccinated child over no vaccination. Screening/vaccination saved 0.66 QALD per adult, costing \$46,500 per QALY saved.

### Three-Way Sensitivity Analysis of Infection Rate, Vaccine Effectiveness, and Cost

Our base case assumed an infection rate of 2% per year, vaccine effectiveness of 75%, and a vaccine cost of \$180. However, the vaccine may have some use in areas of lower endemicity. Also, because the vaccine does not yet exist, its cost and effectiveness are unknown. We present the effects of varying costs and effectiveness of the vaccine under two conditions: 0.5% infection rate per year and 2% per year (our base case of highly endemic regions) (Figure 3). In our base case for children, the \$180 vaccine remains cost-saving down to an effectiveness of 65% and costs  $< \$50,000$  per QALY until vaccine effectiveness drops below 30%, confirming that the vaccine need not be highly effective to be cost-effective in younger populations. A \$400 vaccine costs approximately \$50,000 per QALY gained among children at 65% effectiveness. A \$400 vaccine would be economically unfavorable for the screening/vaccination strategy in adults at an annual infection rate of 2%, even at 90% vaccine effectiveness. At an infection rate of 0.5% per year, as might be seen in southern California (34), all strategies except \$180 vaccination at 90% effectiveness in children are economically unfavorable.

**Figure 3**



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### Discount Rate

Among children, vaccination saved 5.95 QALD and \$563 over no vaccination when costs and benefits were not discounted. At a discount rate of 5%, vaccination was no longer cost-saving at \$27,600 per QALY gained. For adults, changing the discount rate from 0% to 5% changed the cost-effectiveness ratio of screening/vaccination compared with no vaccination from \$30,800 to \$155,000 per QALY gained.

**Figure 3.** Sensitivity to infection rate, vaccine cost, and vaccine effectiveness....

### Dissemination Rate

Our base-case analysis used a dissemination rate of 0.38% based on the assumption that only blacks and Asians had higher rates of dissemination than whites. We evaluated a range of 0.25% to 0.55% to determine the effect of assuming all non-whites (including Hispanics) had rates of dissemination equal to that of whites (0.25%) or that of blacks (3.4%). Among children, vaccination saved 2.71 QALD and \$143 per child vaccinated at an overall dissemination rate of 0.55%, and 1.22 QALD at a cost of \$15,300 per QALY gained at the 0.25% dissemination rate. Among adults, varying the dissemination rate from 0.25% to 0.55% changed the cost-effectiveness ratio of screening/vaccination over no vaccination from \$25,400 to \$125,000 per QALY gained.

### New Residents

If one considers new residents separately, vaccination saved 2.18 QALD and \$75 per immigrant child vaccinated, and saved 1.13 QALD per immigrant adult vaccinated, costing \$13,500 per QALY gained.

We present best- and worst-case scenarios in [Appendix I](#) (online).

## Discussion

We evaluated the usefulness of a potential vaccine against *C. immitis* in highly disease-endemic regions. Vaccination was cost-saving among children. A screening/vaccination strategy cost \$62,000 per QALY gained among adults. Although the increase in quality-adjusted life expectancy from an immunization program is modest for one individual patient, this aggregates to an important number of illnesses and deaths prevented ([Table 1](#)). Furthermore, the life expectancy gains are comparable with gains from other immunizations. Vaccination for coccidioidomycosis (universally among children and after screening in adults) saved 0.14 to 0.47 life days and 0.53 to 1.86 QALD per person over no vaccination. In comparison, vaccination against pneumococcal bacteremia among elderly people saves 1.2 QALD per person vaccinated ([35](#)); infant vaccinations against measles, mumps, rubella, and pertussis each save 2.7, 3, 0.3, and 3.3 life days, respectively ([36](#)). To further place benefits in perspective, if the birth cohort in highly endemic counties of California and Arizona were immunized in 2001, 11



deaths would be averted and \$3 million would be saved (in net present value) over the lifetime of these children.

The only previous analysis of the cost-effectiveness of a vaccine against coccidioidomycosis drew different conclusions. An IOM report considered the costs and benefits of research and development into a vaccine for *C. immitis* and found that the immunization of infants in endemic regions and immigrants of any age would cost >\$100,000 per QALY (14). Our analysis evaluated a different question: If a vaccine were currently available, would it be cost-effective to immunize people in highly endemic regions? Although the IOM report acknowledged that the committee used rough estimates and a simplified model, the primary reason that it reached a different conclusion was that the cost of vaccine development was included in the IOM model. Vaccine development costs will influence the sale price of the vaccine. Project directors of the Valley Fever Vaccine Project estimate that research and development through phase III clinical trials, supported largely by public and private philanthropic sources, will cost \$28 million (G. Rutherford, pers. comm.). This figure is 10 times lower than that used by the IOM in its analysis. To recoup \$28 million over 5 years by immunizing 60% of the 90,000 annual birth cohort in highly endemic regions of California and Arizona, the vaccine would have to be priced at \$100 per vaccinated person. This is less than the \$180 vaccine cost assumed in our base-case analysis.

Sensitivity analyses found that the vaccine would be cost-effective in children under assumptions of waning immunity and would be even more effective and cost-saving in children and cost-effective in adults if the vaccine prevented primary infection. In highly endemic regions, vaccination of children is cost-effective even at relatively low levels of vaccine effectiveness if the vaccine is priced at \$180. A \$180 vaccine had to be >85% effective for screening/vaccination to cost \$50,000 per QALY in adults. Paradoxically, an even higher annual infection rate would make a screening/vaccination strategy less favorable in adults because most of them would have already acquired natural immunity by age 40. At an annual infection rate one-fourth of that seen in the Central Valley of California, such as might be seen in southern California, the only cost-effective strategy would be to immunize children with an inexpensive and highly efficacious vaccine. If dissemination rates are higher than our base case, as might be seen in the elderly and those with chronic diseases, screening/vaccination becomes cost-effective for adults.

The vaccine is much more effective in persons without naturally acquired immunity. A vaccination strategy that targeted new residents in highly endemic regions would exploit easily obtainable risk factor information. However, such a policy might be unacceptable to members of the population excluded by such a strategy. Finally, because vaccination is a preventive intervention that can take years before accruing a health benefit (in contrast to acute health-care interventions), our results were highly sensitive to discount rate.

Our model has limitations. The ecology of endemic regions has changed substantially with urbanization since Smith's study in the 1940s (20). Furthermore, his studies documenting infection and dissemination were conducted in the military, which may not be representative of general population exposures. Our base-case analysis used the



demographics in highly endemic areas to estimate a composite dissemination rate for a multiracial population. We did not model race independently, nor did we model exposure risk. Workers with high levels of dust exposure may have higher rates of infection and more to gain from early immunization.

Our model assumed that all age groups without prior immunity were at equal risk for adverse health outcomes and had identical health-care costs. However, illness severity and costs may be higher among the elderly. Reported cases of coccidioidomycosis during Arizona's 1991 to 1995 epidemic occurred disproportionately among older adults (11). The study did not discern whether this was due to reporting differences, immune-suppressing coexisting conditions, a preponderance of new immigrants with higher risk for infection, or an independent age-related phenomenon. The death rate from primary pulmonary infection is as high as 26.8% among persons over 65 (37), much higher than our composite base-case estimate of 0.5%. The Kern County data on which we based our cost assumptions revealed that hospitalization, the greatest health-care expenditure associated with acute illness, was 4.2 times more likely among people >50 years old. Thus, we may have underestimated the potential effectiveness and cost savings of the vaccine among older adults.

Finally, our analysis did not model the effects of an immunization program in immunocompromised patients. Persons with compromised cell-mediated immunity, including those with AIDS, malignancy, or therapeutic medical immunosuppression, have higher rates of serious illness. Many of these patients may have reactivation of previous infection; it is unclear whether vaccination would be useful in this clinical scenario. This is an area for future research as results from clinical trials become available.

An uncommon disease in a national context, coccidioidomycosis has substantial ramifications for several regions where epidemics have produced reported annual case rates as high as 15 per 100,000 and substantial economic impact. Diseases affecting <200,000 persons annually (orphan diseases) require more incentives for research and development. Our findings may contribute to the policy decisions for vaccine development and distribution for *C. immitis* (39). Our analysis suggests that a vaccine against *C. immitis* would have substantial public health benefit. An update of this cost-effectiveness analysis can be performed when the results of human vaccine trials become available.

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1. Appendix I is online only; it contains the formula used to calculate the incremental cost-effectiveness of these strategies; URL: [http://www.cdc.gov/ncid/eid/vol7/no5/barnato\\_appendix1.htm](http://www.cdc.gov/ncid/eid/vol7/no5/barnato_appendix1.htm)

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